

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NEW JERSEY**

---

**SECURITIES AND EXCHANGE  
COMMISSION,**

**Plaintiff,**

**v.**

**BRENDA SMITH, BROAD REACH  
CAPITAL, LP, BROAD REACH  
PARTNERS, LLC, and BRISTOL  
ADVISORS, LLC,**

**Defendants.**

---

**C. A. No. 2:19-cv-17213 (MCA)**

**ORDER APPROVING THE RECEIVER, KEVIN D. KENT, ESQUIRE’S  
THIRD INTERIM FEE APPLICATION FOR THE PERIOD  
JANUARY 1, 2021 THROUGH MARCH 31, 2021**

**THIS MATTER** having come before this Court upon the Motion of Receiver, Kevin D. Kent, Esquire, for Approval of Third Interim Fee Application for the Period January 1, 2021 through March 31, 2021;

It is on this \_\_\_\_\_ day of \_\_\_\_\_, 2021,

**ORDERED** that the Receiver’s Third Interim Fee Application is APPROVED;  
and it is

**FURTHER ORDERED** that Payment to Conrad O’Brien PC (“Law Firm”) in the amount of \$168,736.80, for services performed from January 1, 2021 through

March 31, 2021, representing eighty percent (80%) of the Law Firm's total fee of \$210,921.00 for this quarter, is APPROVED and may be paid by the Receiver at this time; and it is

**FURTHER ORDERED** that payment to Alvarez & Marsal Disputes and Investigations, LLC ("Accountant") in the amount of \$363,894.00 for services performed from January 1, 2021 through March 31, 2021, representing eighty percent (80%) of the Accountant's total fee of \$454,867.50 for this quarter, is APPROVED and may be paid by the Receiver at this time; and it is

**FURTHER ORDERED** that payment to Conrad O'Brien PC in the amount of \$408.70 for expenses incurred from January 1, 2021 through March 31, 2021, representing eighty percent (80%) of the Firm's total expenses of \$510.87 for this quarter, is APPROVED and may be paid by the Receiver at this time; and it is

**FURTHER ORDERED** that payment to Alvarez & Marsal Disputes and Investigations, LLC in the amount of \$2,624.56 for expenses incurred from January 1, 2021 through March 31, 2021, representing eighty percent (80%) of the Accountant's total expenses of \$3,280.70 for this quarter, is hereby APPROVED and may be paid by the Receiver at this time.

**BY THE COURT:**

---

HONORABLE MADELINE COX ARLEO  
UNITED STATES DISTRICT JUDGE